Executive Wealth Management Ltd
Helping your finances perform to match your lifestyle

Groundwell Farmhouse
Woodcutters Mews
Swindon, SN25 4AU
T: 01793 976162
E: admin@executivewealthmanagement.co.uk

Service Proposition & Engagement

This engagement is made between Executive Wealth Management Ltd and:

Client Name: ______________________________

Client Address: ______________________________

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All investments should be reviewed on a regular basis and this agreement sets out the terms on which we charge for our ongoing services to you. Should you decide to sign up for one of our ongoing services we will ask you to acknowledge your consent at the end of this agreement. You should then retain your copy of this with our 'client agreement' previously provided to you.

Our fees for our on-going management service as well as the services we provide are set out in the table overleaf:

SERVICE LEVEL	Executive Wealth	Enhanced Wealth	Passive Wealth	Transactional
Unlimited access to Personal Finance Portal	✓	✓		
Annual statement of holdings	✓	✓	✓	
Access to our support team	✓	✓	✓	
Professional expertise and governance embedded into our investment processes	✓	✓	✓	
On-going access to your adviser	✓	✓	✓	
On-going Admin Support	✓	✓	✓	
Regular Newsletters	✓	✓		
Model Portfolios including quarterly investment bulletins	✓	✓		
Quarterly Portfolio Rebalancing	✓	✓		
Six Monthly Review Meetings including:	✓			
Annual Review Meetings including:		✓		
Annual Telephone Review Meetings Including:			✓	
Review of Objectives	✓	✓	✓	
Review of Risk Profile	✓	✓	✓	
Review of Asset Allocation (if required)	✓	✓	✓	
Review of Tax Changes	✓	✓		
Updates & Valuations	✓	✓		
Comprehensive Financial Health Check:	✓	✓		
Cashflow Forecasting	✓	✓		
Detailed Tax Planning	✓			
Estate Planning	✓			
Liaison with accountant/solicitor (if required)	✓			
Service Fees				
Top up on Existing Investment/Pension	Included	Included	£600	£600
Portfolio Management Costs	Included	0.2%	n/a	nil
Financial Management Costs	Monthly retainer*	0.5%	0.5%	nil

*** The monthly retainer for the Executive Wealth service level will be agreed with you at our meeting and is dependent upon the complexity of your financial affairs.**

The examples below are to help you understand how our charges will be applied;

- Example 1; if you choose the Passive level and your investments are valued at £50,000 the 0.5% charge would be £250 and would be the total fee payable for that year.

- Example 2; if you choose our Enhanced level and your investments are valued at £150,000 the 0.5% charge would be £750 and would be the total fee payable for that year.

- Example 3; if you choose our Enhanced level to include the Portfolio Management and your investments are valued at £250,000 the 0.7% charge would be £1,750 and would be the total fee payable for that year.

Where the value of your investments rises, then the fees for this service will increase, conversely, if the value of your investments falls, the cost of this service will decrease.

You can choose to pay this fee directly or by deduction from the policy(ies) you hold. Should you wish to pay directly you can spread the payment over a 12-month period by standing order.

When you sign this ongoing service agreement it is deemed to commence immediately and payable monthly in arrears. You can choose to cancel this at any time by providing us with written confirmation of your decision. Payments would then cease within 7 business days or after collection of any due proportion of any period charges if later.

Service Level

I/We would like to subscribe to the following on-going service option:
(please tick the appropriate box)

Executive Wealth Client Service Option

I/We understand that the fee for this service is an agreed monthly retainer of £…………….. ☐

Enhanced Wealth Client Service Option

I/We understand that the maximum fee for this service is 0.5% of the value of the relevant investments each year ☐

Or 0.7% if you choose to include our Quarterly Portfolio Management service of the value of the relevant investments each year ☐

Passive Wealth Client Service Option

I/We understand that the total fee for this service is 0.5% of the value of the relevant investments each year. ☐

Transactional only Client Service Option

I/We understand that there is no fee for this service as there is no on-going service provided. ☐

Payment Options

Payment by Deduction from your Investments

I/We wish for the cost of the on-going service to be paid by deduction from the investments/plans we hold ☐

Payment by Standing Order

I/We wish for the cost of the on-going service to be charged directly to me on a monthly/yearly basis ☐

Signed: ______________________________

Print Name: ______________________________

Date: ______________________________

Signed: ______________________________

Print Name: ______________________________

Date: ______________________________

Signed on behalf of
Executive Wealth Management Ltd: ______________________________

Print Name: ______________________________

Date: ______________________________

OFFICE COPY

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